DISCONTINUOUS GALERKIN APPROXIMATION FOR A STOKES-BRINKMAN-TYPE FORMULATION FOR THE EIGENVALUE PROBLEM IN POROUS MEDIA*

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Abstract. We introduce a family of discontinuous Galerkin methods to approximate the eigenvalues and eigenfunctions of a Stokes-Brinkman type of problem based in the interior penalty strategy. Under the standard assumptions on the meshes and a suitable norm, we prove the stability of the discrete scheme. Due to the non-conforming nature of the method, we use the well-known non-compact operators theory to derive convergence and error estimates for the method. We present an exhaustive computational analysis where we compute the spectrum with different stabilization parameters with the aim of study its influence when the spectrum is approximated.

Key words. porous media, fluid equations, eigenvalue problems, discontinuous Galerkin methods, a priori and a posteriori error analysis, Stokes-Brinkman equations

AMS subject classifications. 35Q35, 65N15, 65N25, 65N30, 65N50

1. Introduction. The approximation of eigenvalues and eigenfunctions of certain systems involving partial differential equations is a subject in constant progress on the numerical analysis community, where different methods and techniques have emerged through the years to approximate accurately these quantities. In [7] we found the different approaches to study numerically eigenvalue problems arising from partial differential equations from the finite element method point of view, where prima and mixed formulations are analyzed. However, these techniques are possible to be extended for other type of methods, particularly discontinuous Galerkin methods (DG) whose interesting features as for example, the meshes admit hanging-nodes with no restriction and elemental polynomial bases consisting of locally variable polynomial degrees are also admissible, owing to the lack of pointwise continuity requirements across the mesh-skeleton. Since the elements of the mesh does not share degrees of freedom (DOFs) at their interfaces, the DG method is intrinsically parallelizable, making it very efficient for large-scale computations. This provides a crucial benefit that offsets the greater number of DOFs it uses relative to the finite element method (FEM). Also, an important number of solvers are now available to solve the resulting linear systems when the IPDG is implemented in two and three dimensions (see [3, 5, 8] for instance).

The application of DG for eigenvalue problems, in particular the interior penalization approach (IPDG) was introduced, for the best of the authors's knowledge, for the Laplace eigenvalue problem in [4], where the authors proved spectral correctness and error estimates under suitable norms. These developments have been also useful to tackle other eigenvalue problems as [9, 26, 23, 21, 20, 22] where the Maxwell's

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equations, elasticity, and flow equations, in primal and mixed formulations have been considered. On these references, one of the main contribution besides the mathematical and numerical analysis, is related to the computational experimentation. More precisely, since the use of the IPDG method requieres the introduction of a stabilization parameter intrinsic to penalize the jumps on interments, which is positive and chosen proportionally to the square of the polynomial degree as is proposed in [9]. If this parameter is not correctly chosen on the implementation, may introduce undesirable eigenvalues with no physical meaning. These are the so-called spurious eigenvalues, and the effects of this parameter must be analyzed for IPDG methods. On the other hand, the IPDG has shown in the previously mentioned references a high accuracy on the approximation of eigenvalues for the operators in two and three dimensions and different geometries and boundary conditions. This makes the IPDG method a suitable alternative to solve eigenvalue problems arising from continuum mechanics.

In the present paper, we continue with our research program related to DG to solve numerically eigenvalue problems now focusing our attention on the Stokes-Brinkman eigenvalue problem. This problem, already studied in for example [32] for the load problem, has the particularity of interpolate between pure Stokes flow and damped flow in porous media (similar to Brinkman or Darcy-Stokes). Now, the eigenvalue problem version of this problem is stated as follows: let $\Omega \subset \mathbb{R}^d$, with $d \in \{2,3\}$, be an open bounded domain with Lipschitz boundary $\partial\Omega$. Let Γ_1 and Γ_2 be disjoint open subset of $\partial\Omega$ such that $\partial\Omega = \overline{\Gamma}_1 \cup \overline{\Gamma}_2$. Considering a steady-state of the balance laws for linear fluid equations, the problem to be studied is given as follows

61 (1.1)
$$\mathbb{K}^{-1}\boldsymbol{u} - \nu\Delta\boldsymbol{u} + \nabla p = \lambda\boldsymbol{u} \qquad \text{in } \Omega,$$
62 (1.2)
$$\operatorname{div}\boldsymbol{u} = 0 \qquad \text{in } \Omega,$$
63 (1.3)
$$\boldsymbol{u} = \boldsymbol{0} \qquad \text{on } \Gamma_1,$$
64 (1.4)
$$(\nu\nabla\boldsymbol{u} - p\mathbb{I})\boldsymbol{n} = \boldsymbol{0} \qquad \text{on } \Gamma_2,$$

where \boldsymbol{u} represents the fluid velocity, p is the pressure, $\mathbb{I} \in \mathbb{R}^{d \times d}$ denotes the identity matrix, the tensor \mathbb{K} is the parameter associated to the permeability of the domain, ν is the viscosity of the fluid, and \boldsymbol{n} represents the unit normal. For tensor \mathbb{K} we assume that is bounded, symmetric, and positive definite. Let us observe that if $\mathbb{K}^{-1} \to \mathbf{0}$, system (1.1)-(1.4) is nothing but a Stokes eigensystem, while if $\nu=0$, we have Darcy's law, which we do not consider for the analysis of an eigenvalue problem. Regarding the domain Ω , we split it in two media $\overline{\Omega} := \overline{\Omega}_S \cup \overline{\Omega}_D$, where Ω_S and Ω_D represent subdomains where there is free flow and porous media, respectively. For the free-flow domain, we take $\mathbb{K}^{-1} = \mathbf{0}$, while $\mathbb{K}^{-1} \gg \mathbf{0}$ is considered in Ω_D . This allows to study the eigenmodes on domains where we have membrane-like behavior or internal filters.

System (1.1)–(1.4) has been previously studied in [25] using inf-sup stable finite elements. In that work, convergence of the solution operators is established, along with both a priori and a posteriori error analyses. On this reference is analyzed how eigenvalues—and consequently, eigenfunctions—are affected by variations in the permeability of the medium in both two and three dimensions. Although the finite element method (FEM) is a well-known and effective technique for approximating the spectrum of operators, it remains somewhat restrictive compared to more general methods—particularly IPDG methods, which offer greater flexibility, as previously mentioned. Now our goal is to extend the results of [25] to a more general method as the IPDG method. Unlike [25], where the analysis is based on the theory of compact operators, the analysis of nonconforming methods such as IPDG requires

the theoretical framework developed in [10, 11] in order to establish convergence and derive error estimates, as shown, for instance, in [4, 20]. Moreover, since we are dealing with varying permeabilities, not only can geometric singularities affect the regularity of certain eigenfunctions, but the heterogeneity of the permeability coefficient itself may also impact them in different ways. This motivates the development of an a posteriori error estimator that is reliable, efficient, and fully computable within the IPDG framework. Also, these analyzes need to be supported with numerical tests. In this sense, as we discussed above in the influence of the stabilization parameter, we theoretically prove that the solution operator associated to the velocity is well defined when the stabilization is sufficiently large compared with quantities depending on physical parameters such as viscosity and permeability (cf. Lemma 3.1) and this must be confirmed by the theory, motivating the design of experiments where the influence of the stabilization plays an important role on the convergence and the appearance of spurious eigenvalues, as is studied in [20, 21, 22, 23] for IPDG methods in different contexts.

- 1.1. Outline of the paper. The notations for Lebesgue spaces, norms, and inner products are the standard along our manuscript. In section 2 we summarize some details about the model problem, solutions operators, well posedness of the load problem and regularity results. Immediately in section 3 we introduce the definitions of the elements of the mesh, norms, seminorms, polynomial spaces in order to define the IPDG methods. Here we introduce the discrete bilinear forms and hence, the IPDG discretizations of the model problem. The discrete solutions operators are introduced with the corresponding discrete load problems which we prove are well posed. With this discrete framework at hand, in section 4 we analyze convergence and error estimates for the discontinuous numerical schemes. Section 5 contains the analysis for an a posteriori error estimator of the residual type and, finally in section 6, we report a complete experimental analysis for in order to assess the performance of the IPDG methods when the spectrum of the model problem is approximated.
- 2. Functional framework and variational formulation. Let us establish the functional framework in which we will operate. The space where we seek the velocity is

$$\mathbf{H}_{\Gamma_1}(\Omega) := \{ \boldsymbol{v} \in \mathbf{H}^1(\Omega) : \boldsymbol{v} = \mathbf{0} \text{ on } \Gamma_1 \},$$

whereas $L^2(\Omega)$ is the space for the pressure. If $\Gamma_2 = \emptyset$, then the pressure is defined up to a constant. Hence, we take $\mathbf{H}_0^1(\Omega)$ for the velocity space and $L_0^2(\Omega)$ for the pressure.

Throughout this work, we assume that the permeability tensor is positive definite for all $v \in \mathbf{H}_{\Gamma_1}(\Omega)$. More precisely, there exist positive constants $\mathbb{K}_*, \mathbb{K}^* > 0$ such that

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$$0 < \mathbb{K}_* \| \boldsymbol{v} \|_{0,\Omega}^2 \le (\mathbb{K}^{-1} \boldsymbol{v}, \boldsymbol{v})_{0,\Omega} \le \mathbb{K}^* \| \boldsymbol{v} \|_{0,\Omega}^2.$$

121 A variational formulation for system (1.1)–(1.4) is the following: find $\lambda \in \mathbb{R}^+$, the 122 velocity $\mathbf{0} \neq \mathbf{u} \in \mathbf{H}_{\Gamma_1}(\Omega)$, and the pressure $0 \neq p \in L^2(\Omega)$ such that

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$$\int_{\Omega} \mathbb{K}^{-1} \boldsymbol{u} \cdot \boldsymbol{v} + \nu \int_{\Omega} \nabla \boldsymbol{u} : \nabla \boldsymbol{v} - \int_{\Omega} p \operatorname{div} \boldsymbol{v} = \lambda \int_{\Omega} \boldsymbol{u} \cdot \boldsymbol{v} \qquad \forall \boldsymbol{v} \in \mathbf{H}_{\Gamma_{1}}(\Omega),$$
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$$- \int_{\Omega} \operatorname{div} \boldsymbol{u} q = 0 \qquad \forall q \in L^{2}(\Omega).$$

Defining the continuous bilinear forms $a: \mathbf{H}_{\Gamma_1}(\Omega) \times \mathbf{H}_{\Gamma_1}(\Omega) \to \mathbb{R}$ and $b: \mathbf{H}_{\Gamma_1}(\Omega) \times \mathbf{L}^2(\Omega) \to \mathbb{R}$ as follows

$$a(oldsymbol{u}, oldsymbol{v}) := \int_{\Omega} \mathbb{K}^{-1} oldsymbol{u} \cdot oldsymbol{v} +
u \int_{\Omega}
abla oldsymbol{u} :
abla oldsymbol{v}, \quad ext{and} \quad b(oldsymbol{v}, q) \quad := -\int_{\Omega} q \operatorname{div} oldsymbol{v},$$

the weak formulation is rewritten in the following abstract form:

PROBLEM 2.1. Find $\lambda \in \mathbb{R}^+$ and $(\mathbf{0},0) \neq (\boldsymbol{u},p) \in \mathbf{H}_{\Gamma_1}(\Omega) \times \mathrm{L}^2(\Omega)$ such that

$$\begin{cases} a(\boldsymbol{u}, \boldsymbol{v}) + b(\boldsymbol{v}, p) = \lambda(\boldsymbol{u}, \boldsymbol{v})_{0,\Omega}, & \forall \boldsymbol{v} \in \mathbf{H}_{\Gamma_1}(\Omega), \\ b(\boldsymbol{u}, q) = 0, & \forall q \in L^2(\Omega). \end{cases}$$

where $(\cdot, \cdot)_{0,\Omega}$ denotes the usual L² inner product.

We denote by K the kernel of $b(\cdot,\cdot)$ which si defined by

$$\mathcal{K} := \{ \boldsymbol{v} \in \mathbf{H}_{\Gamma_1}(\Omega) : b(\boldsymbol{v}, q) = 0 \quad \forall q \in L^2(\Omega) \} = \{ \boldsymbol{v} \in \mathbf{H}_{\Gamma_1}(\Omega) : \operatorname{div} \boldsymbol{v} = 0 \}.$$

With this space at hand, it is direct to prove that bilinear form $a(\cdot, \cdot)$ satisfies

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$$a(\boldsymbol{u}, \boldsymbol{v}) \leq \max\{\mathbb{K}^*, \nu\} \|\boldsymbol{u}\|_{1,\Omega} \|\boldsymbol{v}\|_{1,\Omega} \quad \forall \boldsymbol{u}, \boldsymbol{v} \in \mathbf{H}_{\Gamma_1}(\Omega),$$
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$$a(\boldsymbol{v}, \boldsymbol{v}) > \min\{\mathbb{K}_*, \nu\} \|\boldsymbol{v}\|_{1,\Omega}^2 \quad \forall \boldsymbol{v} \in \boldsymbol{\mathcal{K}}.$$

On the other hand, we have that there exists $\beta > 0$ such that the following inf-sup condition holds

134 (2.1)
$$\sup_{\mathbf{0} \neq \boldsymbol{v} \in \mathbf{H}_{\Gamma_1}(\Omega)} \frac{b(\boldsymbol{v}, q)}{\|\boldsymbol{v}\|_{1,\Omega}} \ge \beta \|q\|_{0,\Omega}, \qquad \forall q \in \mathrm{L}^2(\Omega).$$

Let us define the following continuous bilinear form

$$A((\boldsymbol{u}, p), (\boldsymbol{v}, q)) := a(\boldsymbol{u}, \boldsymbol{v}) + b(\boldsymbol{v}, p) + b(\boldsymbol{u}, q),$$

which allows to rewrite Problem 2.1 in the following manner:

PROBLEM 2.2. Find $\lambda \in \mathbb{R}^+$ and $(\mathbf{0},0) \neq (\boldsymbol{u},p) \in \mathbf{H}_{\Gamma_1}(\Omega) \times \mathrm{L}^2(\Omega)$ such that

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$$A((\boldsymbol{u}, p), (\boldsymbol{v}, q)) = \lambda(\boldsymbol{u}, \boldsymbol{v})_{0,\Omega}, \quad \forall (\boldsymbol{v}, q) \in \mathbf{H}_{\Gamma_1}(\Omega) \times L^2(\Omega).$$

For the analysis of Problem 2.1 (namely Problem 2.2) it is necessary to introduce the corresponding solution operators associated to the velocity and the pressure as in [7]. With this idea in mind, let us denote by T the operator associated to the velocity and S the one associated to the pressure, which are defined by

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$$T: \mathbf{L}^2(\Omega) \to \mathbf{H}_{\Gamma_1}(\Omega), \ f \mapsto Tf := \widetilde{u},$$

143 $S: \mathbf{L}^2(\Omega) \to \mathbf{L}^2(\Omega), \ f \mapsto Sf := \widetilde{p},$

where the pair $(\widetilde{\boldsymbol{u}}, \widetilde{p}) \in \mathbf{H}_{\Gamma_1}(\Omega) \times \mathrm{L}^2(\Omega)$ solves the following source problem

145 (2.2)
$$\begin{cases} a(\widetilde{\boldsymbol{u}}, \boldsymbol{v}) + b(\boldsymbol{v}, \widetilde{p}) = (\boldsymbol{f}, \boldsymbol{v})_{0,\Omega}, & \forall \boldsymbol{v} \in \mathbf{H}_{\Gamma_1}(\Omega), \\ b(\widetilde{\boldsymbol{u}}, q) = 0, & \forall q \in L^2(\Omega), \end{cases}$$

which is well posed due to (2.1), the coercivity of $a(\cdot, \cdot)$ on \mathcal{K} , and the Babuška-Brezzi theory. This implies that T and S are well defined. Moreover, let μ be a real number

such that $\mu \neq 0$. Notice that $(\mu, \boldsymbol{u}) \in \mathbb{R}^+ \times \mathbf{H}_{\Gamma_1}(\Omega)$ is an eigenpair of \boldsymbol{T} if and only if there exists $p \in L^2(\Omega)$ such that, $(\lambda, (\boldsymbol{u}, p))$ solves Problem 2.2 with $\mu := 1/\lambda$.

Let us remark that trivially, we can consider the following source problem: find $(\widetilde{\boldsymbol{u}}, \widetilde{p}) \in \mathbf{H}_{\Gamma_1}(\Omega) \times \mathrm{L}^2(\Omega)$ such that

152 (2.3)
$$A((\widetilde{\boldsymbol{u}},\widetilde{p}),(\boldsymbol{v},q)) = (\boldsymbol{f},\boldsymbol{v})_{0,\Omega}, \quad \forall (\boldsymbol{v},q) \in \mathbf{H}_{\Gamma_1}(\Omega) \times \mathrm{L}^2(\Omega).$$

Since $(\mathbb{K}^{-1}\boldsymbol{u},\boldsymbol{v})_{0,\Omega}$ is well defined, from the well known Stokes regularity results (see [14, 28] for instance), we have the following additional regularity result for the solution of the source problem (2.3).

THEOREM 2.3. There exists s > 0 that for all $\mathbf{f} \in \mathbf{L}^2(\Omega)$, the solution $(\widetilde{\mathbf{u}}, \widetilde{p}) \in \mathbf{H}_{\Gamma_1}(\Omega) \times \mathbf{L}^2(\Omega)$ of problem (2.3), satisfies for the velocity $\widetilde{\mathbf{u}} \in \mathbf{H}^{1+s}(\Omega)$, for the pressure $\widetilde{p} \in \mathbf{H}^s(\Omega)$, and

$$\|\widetilde{\boldsymbol{u}}\|_{1+s,\Omega} + \|\widetilde{\boldsymbol{p}}\|_{s,\Omega} \le C\|\boldsymbol{f}\|_{0,\Omega},$$

where C > 0 is a constant depending on the physical parameters.

Remark 2.4. It is worth mentioning that the estimate provided in Theorem 2.3 holds for the solutions of the load problem. Furthermore, for the eigenfunctions, there exists r > 0 and a constant C > 0, which depends on the physical parameters and the eigenvalue λ , such that

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$$\|\boldsymbol{u}\|_{1+r,\Omega} + \|p\|_{r,\Omega} \le C\|\boldsymbol{u}\|_{0,\Omega}.$$

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Finally, since the embedding $\mathbf{H}^{1+s}(\Omega) \hookrightarrow \mathbf{L}^2(\Omega)$ holds, we conclude that T is compact and the following spectral characterization of T holds.

LEMMA 2.5. (Spectral Characterization of \mathbf{T}). The spectrum of \mathbf{T} is such that $\operatorname{sp}(\mathbf{T}) = \{0\} \cup \{\mu_k\}_{k \in \mathbf{N}}$ where $\{\mu_k\}_{k \in \mathbf{N}}$ is a sequence of real eigenvalues that converge to zero, according to their respective multiplicities.

We end this section with a result that establishes a general inf-sup condition for the bilinear form $A(\cdot, \cdot)$, which is essential for proving the reliability of the a posteriori estimator and its proof is available in [27, Lemma 4.3].

LEMMA 2.6. For all $(\mathbf{0},0) \neq (\boldsymbol{u},p) \in \mathbf{H}_{\Gamma_1}(\Omega) \times \mathrm{L}^2(\Omega)$, there exists $(\boldsymbol{v},q) \in \mathbf{H}_{\Gamma_1}(\Omega) \times \mathrm{L}^2(\Omega)$ with $\|(\boldsymbol{v},q)\| \leq C_1 \|(\boldsymbol{u},p)\|$ such that

$$A((\boldsymbol{u},p),(\boldsymbol{v},q)) \ge C_2 \|(\boldsymbol{u},p)\|^2,$$

where $\|(\boldsymbol{v},p)\|^2 = \|\boldsymbol{v}\|_{1,\Omega}^2 + \|q\|_{0,\Omega}^2$ and C_1 and C_2 are constants depending on the physical parameters.

3. The DG method. Let us introduce the IPDG methods. With this purpose, we need to state the framework in which our analysis will be performed, implying the introduction of definitions and notations that we will use through the work. We begin with the elements of the mesh. Let \mathcal{T}_h be a shape regular family of meshes which subdivide the domain $\bar{\Omega}$ into triangles/tetrahedra that we denote by K. Let us denote by h_K the diameter of any element $K \in \mathcal{T}_h$ and let h be the maximum of the diameters of all the elements of the mesh, i.e. $h := \max_{K \in \mathcal{T}_h} \{h_K\}$.

Let F be a closed set. We say that $F \subset \overline{\Omega}$ is an interior edge/face if F has a positive (d-1)-dimensional measure and if there are distinct elements K and K' such that $F = \overline{K} \cap \overline{K}'$. A closed subset $F \subset \overline{\Omega}$ is a boundary edge/face if there exists

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186 $K \in \mathcal{T}_h$ such that F is an edge/face of K and $F = \bar{K} \cap \Gamma$. Let \mathcal{F}_h^0 and \mathcal{F}_h^{∂} be the 187 sets of interior edges/faces and boundary edges/face, respectively. We assume that 188 the boundary mesh \mathcal{F}_h^{∂} is compatible with the partition $\Gamma = \Gamma_1 \cup \Gamma_2$, namely,

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$$\bigcup_{F \in \mathcal{F}_h^1} F = \Gamma_1 \quad \text{and} \quad \bigcup_{F \in \mathcal{F}_h^2} F = \Gamma_2,$$

where $\mathcal{F}_h^1 := \{ F \in \mathcal{F}_h^{\partial}; \quad F \subset \Gamma_1 \}$ and $\mathcal{F}_h^2 := \{ F \in \mathcal{F}_h^{\partial}: \quad F \subset \Gamma_2 \}$. Also we denote $\mathcal{F}_h^1 := \mathcal{F}_h^0 \cup \mathcal{F}_h^{\partial}$ and $\mathcal{F}_h^* := \mathcal{F}_h^0 \cup \mathcal{F}_h^1$. Also, for any element $K \in \mathcal{T}_h$, we introduce the set $\mathcal{F}(K) := \{ F \in \mathcal{F}_h : \quad F \subset \partial K \}$ of edges/faces composing the boundary of K.

For any $t \geq 0$, we define the following broken Sobolev space

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$$\mathbf{H}^{t}(\mathcal{T}_{h}) := \{ \mathbf{v} \in \mathbf{L}^{2}(\Omega) : \quad \mathbf{v}|_{K} \in \mathbf{H}^{t}(K) \quad \forall K \in \mathcal{T}_{h} \}.$$

Also, the space of the skeletons of the triangulations \mathcal{T}_h is defined by $L^2(\mathcal{F}_h) := \prod_{F \in \mathcal{F}_h} L^2(F)$.

In the forthcoming analysis, $h_{\mathcal{F}} \in L^2(\mathcal{F}_h)$ will represent the piecewise constant function defined by $h_{\mathcal{F}}|_F := h_F$ for all $F \in \mathcal{F}_h$, where h_F denotes the diameter of edge/face F.

Let $\mathcal{P}_m(\mathcal{T}_h)$ be the space of piecewise polynomials respect with to \mathcal{T}_h of degree at most $m \geq 0$; namely,

$$\mathcal{P}_m(\mathcal{T}_h) := \left\{ v \in L^2(\Omega) : v|_K \in \mathcal{P}_m(K), \forall K \in \mathcal{T}_h \right\}.$$

203 Let $k \geq 1$. To approximate the velocity we define the following space

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$$\mathcal{V}_h := \{ \boldsymbol{v}_h \in \mathbf{L}^2(\Omega) : \boldsymbol{v}_h | K \in \mathcal{P}_k(K), \ \forall K \in \mathcal{T}_h \},$$

where $\mathcal{P}_k(K) := \mathcal{P}_k(K)^d$, whereas for the approximation of the pressure, we consider the following space

$$\mathcal{Q}_h := \{ q_h \in L^2(\Omega) : q_h |_K \in \mathcal{P}_{k-1}(K), \ \forall K \in \mathcal{T}_h \}.$$

Given a scalar field q, we define the average $\{q\} \in L^2(\mathcal{F}_h)$ and the jump $[\![q]\!] \in L^2(\mathcal{F}_h)$ by

$$\{q\} := (q_K + q_{K'})/2, \qquad [\![q]\!] := q_K \boldsymbol{n}_K + q_{K'} \boldsymbol{n}_{K'},$$

respectively, where \mathbf{n}_K is the outward unit normal vector to ∂K and q_K represents the restriction $q|_K$. Similarly, for a vector field \mathbf{v} , the average $\{\mathbf{v}\}\in\mathbf{L}^2(\mathcal{F}_h)$ and scalar jump $[\![\mathbf{v}]\!]\in\mathbf{L}^2(\mathcal{F}_h)$ are given by

$$\{\boldsymbol{v}\} := (\boldsymbol{v}_K + \boldsymbol{v}_{K'})/2 \qquad [\![\underline{\boldsymbol{v}}]\!] := \boldsymbol{v}_K \cdot \boldsymbol{n}_K + \boldsymbol{v}_{K'} \cdot \boldsymbol{n}_{K'},$$

respectively, while the tensor (or total) jump $[v] \in [L^2(\mathcal{F}_h)]^{d \times d}$ is defined by

$$\llbracket \boldsymbol{v} \rrbracket := \boldsymbol{v}_K \otimes \boldsymbol{n}_K + \boldsymbol{v}_{K'} \otimes \boldsymbol{n}_{K'}.$$

Finally, if τ is a tensor field, we define the corresponding average and jump as

$$\{oldsymbol{ au}\} := (oldsymbol{ au}_K + oldsymbol{ au}_{K'})/2 \in [\mathrm{L}^2(\mathcal{F}_h)]^{d imes d}, \qquad \llbracketoldsymbol{ au}oldsymbol{n}
Vert \rrbracket := oldsymbol{ au}_K oldsymbol{n}_K + oldsymbol{ au}_{K'} oldsymbol{n}_{K'} \in \mathbf{L}^2(\mathcal{F}_h),$$

respectively. If $K \in \mathcal{T}_h$ is such that a facet \mathcal{F} satisfies $\mathcal{F} \in \mathcal{F}_h^{\partial}$, we can obtain the definition of average and jump in the domain boundary by taking K = K' and K' = 0 in the above definitions, respectively.

Motivated by [4], let us define the space $\mathcal{V}(h) := \mathcal{V} + \mathcal{V}_h$ which we endow with the following norm

$$||v||_{\mathcal{V}(h)}^2 = ||v||_{0,\Omega}^2 + ||\nabla_h v||_{0,\Omega}^2 + ||h_{\mathcal{F}}^{-1/2}[v]||_{0,\mathcal{F}_h}^2.$$

- Finally, given a function $\xi(x) \in L^{\infty}(\Omega)$, we introduce the following trace inequality [23, Section 3]
- 216 (3.1) $||h^{1/2}\{\xi(x)\boldsymbol{v}\}||_{0,\mathcal{F}} \leq \overline{C}_{\varepsilon}||\boldsymbol{v}||_{0,\Omega} \quad \forall \boldsymbol{v} \in \boldsymbol{\mathcal{P}}_{k}(\mathcal{T}_{h}),$
- where \overline{C}_{ξ} is a positive constant independent of the mesh but depending on $\xi(x)$.
- 3.1. Symmetric and nonsymmetric DG schemes. With the discrete spaces previously defined, we introduce the discrete counterpart of Problem 2.1 as follows: Find $\lambda_h \in \mathbb{C}$ and $(\mathbf{0}, 0) \neq (u_h, p_h) \in \mathcal{V}_h \times \mathcal{Q}_h$ such that

221 (3.2)
$$a_h(\boldsymbol{u}_h, \boldsymbol{v}_h) + b_h(\boldsymbol{v}_h, p_h) = \lambda_h(\boldsymbol{u}_h, \boldsymbol{v}_h)_{0,\Omega} \quad \forall \ \boldsymbol{v}_h \in \boldsymbol{\mathcal{V}}_h, \\ b_h(\boldsymbol{u}_h, q_h) = 0 \quad \forall \ q_h \in \boldsymbol{\mathcal{Q}}_h,$$

- where the continuous sesquilinear form $a_h: \mathcal{V}_h \times \mathcal{V}_h \to \mathbb{C}$ is defined, for all $(u_h, v_h) \in \mathcal{V}_h$
- 223 $\boldsymbol{\mathcal{V}}_h \times \boldsymbol{\mathcal{V}}_h$, by $a_h(\boldsymbol{u}_h, \boldsymbol{v}_h) := a^{\mathbb{K}}(\boldsymbol{u}_h, \boldsymbol{v}_h) + a_h^{\nabla}(\boldsymbol{u}_h, \boldsymbol{v}_h)$, where

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$$a^{\mathbb{K}}(\boldsymbol{u}_h, \boldsymbol{v}_h) := \int_{\Omega} \mathbb{K}^{-1} \boldsymbol{u}_h \cdot \boldsymbol{v}_h, \qquad \forall (\boldsymbol{u}_h, \boldsymbol{v}_h) \in \boldsymbol{\mathcal{V}}_h \times \boldsymbol{\mathcal{V}}_h,$$

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226 (3.3)
$$a_h^{\nabla}(\boldsymbol{u}_h, \boldsymbol{v}_h) := \int_{\Omega} \nu \nabla_h \boldsymbol{u}_h : \nabla_h \boldsymbol{v}_h + \int_{\mathcal{F}_h^*} \frac{\mathsf{a}_S}{h_{\mathcal{F}}} \nu \llbracket \boldsymbol{u}_h \rrbracket : \llbracket \boldsymbol{v}_h \rrbracket$$

$$- \int_{\mathcal{F}_h^*} \{ \nu \nabla_h \boldsymbol{u}_h \} : \llbracket \boldsymbol{v}_h \rrbracket - \varepsilon \int_{\mathcal{F}_h^*} \{ \nu \nabla_h \boldsymbol{v}_h \} : \llbracket \boldsymbol{u}_h \rrbracket, \qquad \forall (\boldsymbol{u}_h, \boldsymbol{v}_h) \in \boldsymbol{\mathcal{V}}_h \times \boldsymbol{\mathcal{V}}_h.$$

- In (3.3) the parameter $a_S > 0$, which is commonly named as the *stabilization parameter*, is independent of the mesh size and will have an important influence on the computation of the spectrum as we will see in the forthcoming analys and more precisely on the numerical tests. On the other hand, the parameter $\varepsilon \in \{-1,0,1\}$ dictates if the IPDG methods result to be symmetric or non-symmetric. More precisely, if $\varepsilon = -1$ we obtain the non-symmetric interior penalty method (NIP) and if $\varepsilon = 0$ the incomplete interior penalty method (IIP).
- It is easy to check that $a_h(\cdot, \cdot)$ is a continuous sesquilinear form, i.e., there exists a positive constant C^* such that for all $\boldsymbol{v}_h, \boldsymbol{w}_h \in \boldsymbol{\mathcal{V}}(h)$ there holds

237 (3.4)
$$|a_h(\boldsymbol{v}_h, \boldsymbol{w}_h)| = |a_h^{\nabla}(\boldsymbol{v}_h, \boldsymbol{w}_h) + a^{\mathbb{K}}(\boldsymbol{v}_h, \boldsymbol{w}_h)| \le C^{\star} ||\boldsymbol{v}_h||_{\boldsymbol{\mathcal{V}}(h)} ||\boldsymbol{w}_h||_{\boldsymbol{\mathcal{V}}(h)},$$

where $C^* := \max\{\nu_{\max}, \mathsf{a}_S \nu_{\max}, |\varepsilon| \overline{C}_{\nu}, \overline{C}_{\nu}, \mathbb{K}^*\}.$

Finally we define the bounded sesquilinear form $b_h: \mathcal{V}_h \times \mathcal{Q}_h \to \mathbb{C}$ by

$$b_h(\boldsymbol{v}_h,q_h) := -\int_{\Omega} \operatorname{div}_h \boldsymbol{v}_h q_h + \int_{\mathcal{F}_h^*} \{q_h\} \llbracket \underline{\boldsymbol{v}_h}
rbracket, \quad orall \boldsymbol{v} \in \boldsymbol{\mathcal{V}}_h, \, orall q_h \in \mathcal{Q}_h.$$

Defining the sesquilinear for $A_h(\cdot,\cdot)$ by

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$$A_h((\boldsymbol{u}_h, p_h), (\boldsymbol{v}_h, q_h)) := a_h(\boldsymbol{u}_h, \boldsymbol{v}_h) + b_h(\boldsymbol{v}_h, p_h) + b_h(\boldsymbol{u}_h, q_h),$$

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for all $(\boldsymbol{u}_h, p_h), (\boldsymbol{v}_h, q_h) \in \boldsymbol{\mathcal{V}}_h \times \mathcal{Q}_h$, we rewrite (3.2) as follows: Find $\lambda_h \in \mathbb{C}$ and $(\boldsymbol{u}_h, p_h) \in \boldsymbol{\mathcal{V}}_h \times \mathcal{Q}_h$ such that

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$$A_h((\boldsymbol{u}_h, p_h), (\boldsymbol{v}_h, q_h)) = \lambda_h(\boldsymbol{u}_h, \boldsymbol{v}_h) \quad \forall (\boldsymbol{v}_h, q_h) \in \boldsymbol{\mathcal{V}}_h \times \mathcal{Q}_h.$$

Observe that $A_h(\cdot, \cdot)$ is a bounded sesquilinear form due to the boundedness of $a_h(\cdot, \cdot)$ and $b_h(\cdot, \cdot)$.

Let us recall the following discrete inf-sup condition [18, Proposition 10]: there exists a constant $\hat{\beta} > 0$, independent of h, such that

$$\sup_{\boldsymbol{\tau}_h \in \boldsymbol{\mathcal{V}}_h} \frac{b_h(\boldsymbol{\tau}_h, q_h)}{\|\boldsymbol{\tau}_h\|_{\boldsymbol{\mathcal{V}}(h)}} \ge \widehat{\beta} \|q_h\|_{0,\Omega} \quad \forall q_h \in \mathcal{Q}_h.$$

On the other hand, let us define the discrete kernel \mathcal{K}_h of $b_h(\cdot,\cdot)$ as follows

$$\mathcal{K}_h := \{ \boldsymbol{\tau}_h \in \boldsymbol{\mathcal{V}}_h : b_h(\boldsymbol{\tau}_h, \boldsymbol{v}_h) = 0 \ \forall \boldsymbol{v}_h \in \boldsymbol{\mathcal{V}}_h \}.$$

With this kernel at hand, now we prove that $a_h(\cdot,\cdot)$ is \mathcal{K}_{h} - coercive.

LEMMA 3.1 (ellipticity of $a_h(\cdot,\cdot)$). For any $\varepsilon \in \{-1,0,1\}$, there exists a positive parameter \mathbf{a}^* such that for all $\mathbf{a}_S \geq \mathbf{a}^*$ there holds

$$a_h(\boldsymbol{v}_h, \boldsymbol{v}_h) \ge \widehat{\alpha} \|\boldsymbol{v}_h\|_{\boldsymbol{\mathcal{V}}(h)}^2 \quad \forall \boldsymbol{v}_h \in \boldsymbol{\mathcal{K}}_h,$$

where $\hat{\alpha} > 0$ is independent of h.

Proof. Let $\mathbf{v}_h \in \mathcal{K}_h$. Then, we have $a_h(\mathbf{v}_h, \mathbf{v}_h) = a^{\mathbb{K}}(\mathbf{v}_h, \mathbf{v}_h) + a_h^{\nabla}(\mathbf{v}_h, \mathbf{v}_h)$. We observe that the estimate for $a_h^{\nabla}(\mathbf{v}_h, \mathbf{v}_h)$ is proved in [20, Lemma 1]. In fact, for $\tau > 0$ we have that

$$a_h^{\nabla}(\boldsymbol{v}_h,\boldsymbol{v}_h) \geq \nu^* \underbrace{\left(1 - C_t \frac{(1+\varepsilon)}{2\tau}\right)}_{C_1} \|\nabla_h \boldsymbol{v}_h\|_{0,\Omega}^2 + \nu^* \underbrace{\left(\mathtt{a}_S - \frac{\tau(1+\varepsilon)}{2}\right)}_{C_2} \|h_{\mathcal{F}}^{-1/2}[\![\boldsymbol{v}_h]\!]\|_{0,\mathcal{F}_h^*}^2,$$

where $C_t > 0$ is the constant provided by (3.1). According to [20, Lemma 1], $C_1 > 0$ if and only if τ is such that $\tau > (1+\varepsilon)C_t/2$. On the other hand, \mathbf{a}_S is chosen in such a way that $\mathbf{a}_S > \mathbf{a}^* := (1+\varepsilon)\tau/2$, where τ has been previously determined. Now, for $a^{\mathbb{K}}(\cdot,\cdot)$ we have $a^{\mathbb{K}}(v_h,v_h) \geq \mathbb{K}_* ||v_h||_{0,\Omega}^2$. Hence, $a_h(\cdot,\cdot)$ is κ -elliptic with constant $\widehat{\alpha} := \nu^* \min\{C_1,C_2,\mathbb{K}_*\}$. This concludes the proof.

As a consequence of Lemma 3.1 and the definition of $A_h(\cdot, \cdot)$, is possible to conclude that for all $(\boldsymbol{v}_h, q_h) \in \mathcal{K}_h \times \mathcal{Q}_h$ there holds

$$A_h((\boldsymbol{v}_h,q_h),(\boldsymbol{v}_h,q_h)) \geq \widehat{\alpha} \|\boldsymbol{v}_h\|_{\boldsymbol{\mathcal{V}}(h)}^2,$$

where $\hat{\alpha} > 0$ is the constant previously determined.

Its is important to observe that the constant $\hat{\alpha}$ of Lemma 3.1 depends on the choice of the penalization parameter \mathbf{a}_S which depends on the geometry of Ω and the physical parameters. This means that the well posedness of the source problem at discrete level is directly related to the configuration of the these parameters.

Let us introduce the discrete solution operators for the approximations of the velocity and pressure. More precisely, we define these operators in the following manner

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$$T_h : \mathbf{L}^2(\Omega) \to \mathcal{V}_h, \ f \mapsto T_h f := \widetilde{u}_h,$$
270 $S_h : \mathbf{L}^2(\Omega) \to \mathcal{Q}_h, \ f \mapsto \mathcal{S}_h f := \widetilde{p}_h.$

where the pair $(\widetilde{\boldsymbol{u}}_h, \widetilde{p}_h) \in \boldsymbol{\mathcal{V}}_h \times \mathcal{Q}_h$ corresponds to the solution of the following discrete source problem

$$a_h(\widetilde{\boldsymbol{u}}_h, \boldsymbol{v}_h) + b_h(\boldsymbol{v}_h, \widetilde{p}_h) = (\boldsymbol{f}, \boldsymbol{v}_h)_{0,\Omega} \quad \forall \ \boldsymbol{v}_h \in \boldsymbol{\mathcal{V}}_h, \\ b_h(\widetilde{\boldsymbol{u}}_h, q_h) = 0 \quad \forall \ q_h \in \mathcal{Q}_h,$$

274 which equivalently is written as the following problem

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$$A_h((\widetilde{\boldsymbol{u}}_h, \widetilde{\boldsymbol{p}}_h), (\boldsymbol{v}_h, q_h)) = (\boldsymbol{f}, \boldsymbol{v}_h)_{0,\Omega} \quad \forall (\boldsymbol{v}_h, q_h) \in \boldsymbol{\mathcal{V}}_h \times \mathcal{Q}_h,$$

From (3.5) and Lemma 3.1, together with the Babuška-Brezzi theory, we conclude that (3.6) has a unique solution $(\widetilde{\boldsymbol{u}}_h, \widetilde{p}_h) \in \boldsymbol{\mathcal{V}}_h \times \mathcal{Q}_h$ and as a consequence, operators \boldsymbol{T}_h and $\boldsymbol{\mathcal{S}}_h$ are well defined.

For the solutions of the continuous and discrete source problems, the following Céa estimate holds

$$\|(\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h, \widetilde{p} - \widetilde{p}_h)\|_{\boldsymbol{\mathcal{V}}(h) \times \mathcal{Q}_h} \le C \inf_{(\widetilde{\boldsymbol{v}}_h, \widetilde{q}_h) \in \boldsymbol{\mathcal{V}}_h \times \mathcal{Q}_h} \|(\widetilde{\boldsymbol{u}}, \widetilde{p}) - (\widetilde{\boldsymbol{v}}_h, \widetilde{q}_h)\|_{\boldsymbol{\mathcal{V}}(h) \times \mathcal{Q}_h},$$

where C, depends on the continuity constant of $a_h(\cdot,\cdot)$ and the discrete inf-sup constant given in (3.5). Then we have the following error convergence result for the source problem (see [12, Corollary 6.22])

$$\|\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h\|_{\mathcal{V}(h)} + \|\widetilde{\boldsymbol{p}} - \widetilde{\boldsymbol{p}}_h\|_{0,\Omega} \le Ch^s(\|\widetilde{\boldsymbol{u}}\|_{1+s} + \|\widetilde{\boldsymbol{p}}\|_s) \le Ch^s\|\boldsymbol{f}\|_{0,\Omega},$$

where C depends on the physical constants, but is independent of h.

4. Convergence and error estimates. Now our goal is to prove of convergence of the IPDG scheme and error estimates. To do this task, we resort to the theory of [10, 11], due to the non-conformity of the proposed methods. let us introduce some preliminary definitions and notations. We denote by $\|\cdot\|_{\mathcal{L}(\mathcal{V}(h),\mathcal{V}(h))}$ the corresponding norm acting from $\mathcal{V}(h)$ into the same space. In addition, we will denote by $\|\cdot\|_{\mathcal{L}(\mathcal{V}_h,\mathcal{V}(h))}$ the norm of an operator restricted to the discrete subspace \mathcal{V}_h ; namely, if $\mathbf{L}:\mathcal{V}(h)\to\mathcal{V}(h)$, then

$$||L||_{\mathcal{L}(\boldsymbol{\mathcal{V}}_h,\boldsymbol{\mathcal{V}}(h))} := \sup_{\mathbf{0} \neq \boldsymbol{\tau}_h \in \boldsymbol{\mathcal{V}}_h} \frac{||L\boldsymbol{\tau}_h||_{\boldsymbol{\mathcal{V}}(h)}}{||\boldsymbol{\tau}_h||_{\boldsymbol{\mathcal{V}}(h)}}.$$

According to [10], to establish spectral correctness we need to prove the following properties

- P1. $\|\boldsymbol{T} \boldsymbol{T}_h\|_{\mathcal{L}(\boldsymbol{\mathcal{V}}_h, \boldsymbol{\mathcal{V}}(h))} \to 0 \text{ as } h \to 0.$
- P2. $\forall \tau \in \mathcal{V}$, there holds

$$\inf_{\boldsymbol{\tau}_h \in \boldsymbol{\mathcal{V}}_h} \|\boldsymbol{\tau} - \boldsymbol{\tau}_h\|_{\boldsymbol{\mathcal{V}}(h)} \to 0 \quad \text{as} \quad h \to 0.$$

We observe that property P2 is immediate as a consequence of the density of continuous piecewise degree k polynomial functions in \mathcal{V} . On the other hand, P1 is not direct, and our goal is to prove it.

4.1. Convergence. The IPDG methods considered for the Stokes-Brinkman eigenvalue problem does not differ from the Stokes eigenvalue problem studied in [20] except for the permeability term associated to the Brinkman equations. This implies that the convergence analysis for the Stokes-Brinkman eigenvalue problem is the same

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as in [20]. However, and for completeness of the analysis, we summarize the results that are possible to derive. The first result that is needed is the following (see [20, Lemma 2]).

LEMMA 4.1. For all $\mathbf{f} \in \mathbf{V}$, we define $\widetilde{\mathbf{u}} := \mathbf{T}\mathbf{f}$ and $\widetilde{p} := \mathbf{S}\mathbf{f}$ as the solutions of (2.2), whereas $\widetilde{\mathbf{u}}_h := \mathbf{T}_h \mathbf{f}$ and $\widetilde{p}_h := \mathbf{S}_h \mathbf{f}$ are the solutions of (3.6). Then, the following estimate holds

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$$\|(T - T_h)f\|_{\mathcal{V}(h)} \le Ch^{\min\{s,k\}} \|f\|_{0,\Omega},$$

where s>0 and the hidden constant is independent of h and C>0 depends on the physical constants.

This result is directly extended for discrete sources as is stated in [20, Corollary 315 1].

COROLLARY 4.2. There following estimate holds

$$||T - T_h||_{\mathcal{L}(\boldsymbol{\mathcal{V}}_h, \boldsymbol{\mathcal{V}}(h))} \le Ch^{\min\{s,k\}},$$

318 where the hidden constant is independent of h.

The following result indicates a gain of one additional order in the approximation of the error in the L² norm for the solution operators T and T_h . The proof follows the techniques in [17]. Moreover, for the proof we will assume, s > 1/2 which is perfectly reasonable, according to the results presented in [1, 2].

Theorem 4.3. Let Ω be a convex domain. Let us assume s > 1/2. Then, under the hypotheses of Lemma 4.1, there exists a constant C, independent of h, such that

$$\|\widetilde{\boldsymbol{u}}-\widetilde{\boldsymbol{u}}_h\|_{0,\Omega} \leq C h^{2\min\{s,k\}} (|\widetilde{\boldsymbol{u}}|_{1+s,\Omega}+|\widetilde{p}|_{s,\Omega}) \leq C h^{2\min\{s,k\}} \|\boldsymbol{f}\|_{0,\Omega},$$

where C > 0 depends on the physical constants and independent of h.

Proof. Let us define the following dual problem: Find $(\varphi, \psi) \in \mathbf{H}_{\Gamma_1}(\Omega) \times L^2(\Omega)$ such that

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$$\mathbb{K}^{-1}\boldsymbol{\varphi} - \nu\Delta\boldsymbol{\varphi} + \nabla\psi = \tilde{\boldsymbol{u}} - \tilde{\boldsymbol{u}}_h \qquad \text{in } \Omega,$$
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$$\operatorname{div}\boldsymbol{\varphi} = 0 \qquad \text{in } \Omega,$$
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$$\boldsymbol{\varphi} = \mathbf{0} \qquad \text{on } \Gamma_1,$$
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$$(\nu\nabla\boldsymbol{\varphi} - \psi\mathbb{I})\boldsymbol{n} = \mathbf{0} \qquad \text{on } \Gamma_2$$

Clearly the above solution satisfies the following data dependence

$$\|\varphi\|_{1+s,\Omega} + \|\psi\|_{s,\Omega} \le C_{\nu,\mathbb{K}} \|\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h\|_{0,\Omega},$$

which holds for s > 1/2.

On the other hand, let us consider the following identity

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$$\|\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h\|_{0,\Omega}^2 = \sum_{T \in \mathcal{T}_h} \int_T \left(\mathbb{K}^{-1} \boldsymbol{\varphi} - \nu \Delta \boldsymbol{\varphi} + \nabla \psi \right) \cdot (\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h),$$

applying integration by parts, and using that $(\varphi, \psi) \in \mathbf{H}^{1+s}(\Omega) \times \mathbf{H}^{s}(\Omega)$, we have

334 (4.1)
$$\|\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h\|_{0,\Omega}^2$$

$$= \sum_{T \in \mathcal{T}_h} \left(\int_T \mathbb{K}^{-1} \boldsymbol{\varphi} \cdot (\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h) + \int_T \nu \nabla \boldsymbol{\varphi} : \nabla (\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h) - \int_T \operatorname{div}(\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h) \psi \right)$$

$$+ \int_{\mathcal{F}_h^*} \{ \psi \} [\![\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h]\!] - \int_{\mathcal{F}_h^*} \{ \nu \nabla_h \boldsymbol{\varphi} \} : [\![\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h]\!].$$

Let us denote by $\Xi_h : L^2(\Omega) \to \mathcal{Q}_h$ the classic L²-orthogonal projection and let $\Pi_h \varphi \in \mathbf{H}^1(\Omega)$ be the Lagrange interpolator of φ . Now, testing Problem 2.2 and Problem 3.6 with $(\Pi_h \varphi, \Xi_h \psi) \in \mathbf{H}^1(\Omega) \times L^2(\Omega)$ we have the following identity

$$A_h((\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h, \widetilde{p} - \widetilde{p}_h), (\boldsymbol{\Pi}_h \boldsymbol{\varphi}, \boldsymbol{\Xi}_h \psi)) = 0.$$

By subtracting the above identity to (4.1), we obtain

338 (4.2)
$$\|\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h\|_{0,\Omega}^2 = \underbrace{\sum_{T \in \mathcal{T}_h} \int_T \mathbb{K}^{-1}(\varphi - \boldsymbol{\Pi}_h \varphi) \cdot (\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h)}_{T_1}$$

$$+ \underbrace{\sum_{T \in \mathcal{T}_h} \int_T \nu \nabla (\varphi - \boldsymbol{\Pi}_h \varphi) : \nabla (\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h) + \underbrace{\sum_{T \in \mathcal{T}_h} \int_T \operatorname{div}(\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h)(\Xi_h \psi - \psi)}_{T_3}$$

$$+ \underbrace{\sum_{T \in \mathcal{T}_h} \int_T (\widetilde{p} - \widetilde{p}_h) \operatorname{div} \boldsymbol{\Pi}_h \varphi}_{T_4} + \underbrace{\sum_{F \in \mathcal{F}_h^*} \int_F \{\psi - \Xi_h \psi\} \llbracket \widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h \rrbracket}_{T_5}$$

$$+ (\varepsilon - 1) \underbrace{\sum_{F \in \mathcal{F}_h^*} \int_F \{\nu \nabla_h (\boldsymbol{\Pi}_h \varphi - \varphi)\} : \llbracket \widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h \rrbracket}_{T_5}.$$

The following task is to bound each of the terms T_i , for i = 1, 2, ..., 6. First we note that to bound T_4 it is necessary that:

$$T_4 = \sum_{T \in \mathcal{T}_h} \int_T (\widetilde{p} - \widetilde{p}_h) \operatorname{div}(\mathbf{\Pi}_h \boldsymbol{\varphi} - \boldsymbol{\varphi}) = \sum_{T \in \mathcal{T}_h} \int_T (\widetilde{p} - \Xi_h \widetilde{p}) \operatorname{div}(\mathbf{\Pi}_h \boldsymbol{\varphi} - \boldsymbol{\varphi}).$$

Using the approximation properties of I_h and the projector Ξ_h , together with the regularity of the dual problem and the convergence order of the operators, we obtain that:

$$T_1 + T_2 + T_3 + T_4 \leq \max\{\mathbb{K}^*, \nu, 1, C_{\nu, \mathbb{K}}\} h^{2\min\{s, k\}} (|\widetilde{\boldsymbol{u}}|_{s, \Omega} + |\widetilde{\boldsymbol{p}}|_{s, \Omega}) \|\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h\|_{0, \Omega}.$$

To estimate T_5 , it is necessary to use trace inequality and the properties of approximation

344 (4.3)
$$T_{5} \leq \sum_{F \in \mathcal{F}_{h}^{*}} \|h_{F}^{1/2} \llbracket \psi - \Xi_{h} \psi \rrbracket \|_{0,F} \|h_{F}^{-1/2} \llbracket \widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_{h} \rrbracket \|_{0,F} \leq h^{s} |\psi|_{s,\Omega} \|\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_{h} \|_{\boldsymbol{\mathcal{V}}(h)}$$

$$\leq C_{\nu,\mathbb{K}} h^{2 \min\{s,k\}} \|\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_{h} \|_{0,\Omega} (|\widetilde{\boldsymbol{u}}|_{1+s,\Omega} + |\widetilde{p}|_{s,\Omega}).$$

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For T_6 we proceed analogously

$$T_6 \leq C_{\nu,\mathbb{K}} |\varepsilon - 1| h^{2\min\{s,k\}} \|\widetilde{\boldsymbol{u}} - \widetilde{\boldsymbol{u}}_h\|_{0,\Omega} |\widetilde{\boldsymbol{u}}|_{1+s,\Omega}.$$

Thus, substituting in (4.1), all the estimates obtained for bounding the different T_i with i = 1, 2, 3, 4, 5, 6 completes the proof.

The goal now is to establish that the numerical schemes are spurious free. To do this task, first we recall the definition of the resolvent operator of T and T_h respectively:

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$$(zI - T)^{-1} : \mathcal{V} \to \mathcal{V}, \quad z \in \mathbb{C} \setminus \operatorname{sp}(T),$$

352 $(zI - T_h)^{-1} : \mathcal{V}_h \to \mathcal{V}_h, \quad z \in \mathbb{C} \setminus \operatorname{sp}(T_h).$

Let \mathcal{D} denote the unit disk in the complex plane, defined as $\mathcal{D} := \{z \in \mathbb{C} : |z| \leq 1\}$. According to [20, Lemma 4], the resolvent $(z\mathbf{I} - \mathbf{T})\mathbf{f}$ is correctly bounded in the $\mathbf{\mathcal{V}}(h)$ norm, in the sense that exists a constant C > 0 independent of h such that for all $z \in \mathcal{D} \setminus \operatorname{sp}(\mathbf{T})$ there holds

$$\|(z\boldsymbol{I}-\boldsymbol{T})\boldsymbol{f}\|_{\boldsymbol{\mathcal{V}}(h)} \geq C|z|\,\|\boldsymbol{f}\|_{\boldsymbol{\mathcal{V}}(h)}\quad \forall \boldsymbol{f}\in \boldsymbol{\mathcal{V}}(h).$$

Moreover, on a compact subset E of $\mathcal{D}\backslash \mathrm{sp}(T)$, the resolvent is invertible and bounded, i.e., for all $z\in E$, there exists a constant C>0 such that

$$\|(z\mathbf{I} - \mathbf{T})^{-1}\|_{\mathcal{L}(\mathbf{V}(h), \mathbf{V}(h))} \le C \qquad \forall z \in E.$$

On the other hand, the discrete resolvent is also bounded for sufficiently small values of h as stated in [20, Lemma 5]. More precisely, if $z \in \mathcal{D} \setminus \operatorname{sp}(T)$, there exist $h_0 > 0$ and C > 0 independent of h but depending on |z|, such that for all $h \leq h_0$

$$||(z\boldsymbol{I} - \boldsymbol{T}_h)\boldsymbol{f}||_{\boldsymbol{\mathcal{V}}(h)} \ge C ||\boldsymbol{f}||_{\boldsymbol{\mathcal{V}}(h)} \quad \forall \boldsymbol{f} \in \boldsymbol{\mathcal{V}}(h),$$

As we mention of the continuous resolvent, if E is a compact subset of the complex plane such that $E \cap \operatorname{sp}(T) = \emptyset$ for h small enough and for all $z \in E$, there exists a positive constant C independent of h such that $\|(zI - T_h)^{-1}\|_{\mathcal{L}(\mathbf{V}(h),\mathbf{V}(h))} \leq C$ for all $z \in E$. Hence, with all these ingredients at hand, we conclude that for h small enough. the numerical schemes are spurious free. This is summarized in the following result proved in [10].

THEOREM 4.4. Let $E \subset \mathbb{C}$ be a compact subset not intersecting $\operatorname{sp}(T)$. Then, there exists $h_0 > 0$ such that, if $h \leq h_0$, then $E \cap \operatorname{sp}(T_h) = \emptyset$.

4.2. A priori error estimates. First we introduce the definition of the gap $\hat{\delta}$ between two closed subspaces \mathcal{X} and \mathcal{Y} of $L^2(\Omega)$:

$$\widehat{\delta}(\mathcal{X},\mathcal{Y}) := \max \big\{ \delta(\mathcal{X},\mathcal{Y}), \delta(\mathcal{Y},\mathcal{X}) \big\},$$

where

$$\delta(\mathcal{X}, \mathcal{Y}) := \sup_{x \in \mathcal{X}: \|x\|_{0,\Omega} = 1} \left(\inf_{y \in \mathcal{Y}} \|x - y\|_{0,\Omega} \right).$$

Let λ be an isolated eigenvalue of T and let D be any open disk in the complex plane with boundary γ such that λ is the only eigenvalue of T lying in D and $\gamma \cap$

sp(T) = \emptyset . We introduce the spectral projector corresponding to the continuous and discrete solution operators T and T_h , respectively

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$$\mathcal{E} := \frac{1}{2\pi i} \int_{\gamma} (z\mathbf{I} - \mathbf{T})^{-1} dz : \mathcal{V}(h) \longrightarrow \mathcal{V}(h),$$

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$$\mathcal{E}_h := \frac{1}{2\pi i} \int_{\gamma} (z \boldsymbol{I} - \boldsymbol{T}_h)^{-1} dz : \boldsymbol{\mathcal{V}}(h) \longrightarrow \boldsymbol{\mathcal{V}}(h).$$

The following approximation result for the spectral projections holds is derived according to [4, Theorem 5.1].

Lemma 4.5. There holds

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$$\lim_{h\to 0} \|\mathcal{E} - \mathcal{E}_h\|_{\mathcal{L}(\mathcal{V}_h, \mathcal{V}(h))} = 0.$$

We end this section with the a priori error estimates for the eigenfunctions and eigenvalues. These estimates depend on the IPDG under consideration, in the sense that for non-symmetric methods ($\varepsilon \in \{0, -1\}$) the orders of convergence are not optimal, whereas for the symmetric method ($\varepsilon = 1$) the order of convergence is quadratic. We begin by recalling [20, Lemma 7], which is straightforward for our eigenvalue problem.

Lemma 4.6. There exists a strictly positive constant h_0 such that, for $h < h_0$ 391 there holds

$$\widehat{\delta}_h(\mathcal{E}(\mathcal{V}), \mathcal{E}_h(\mathcal{V}_h)) \le Ch^{\min\{r,k\}},$$

where r > 0 is the same as in (2.4) and the hidden constant C > 0 is independent of h.

Remark 4.7. It is important to remark that this lemma, rigorously speaking, the proof of this result lies in the fact that the IPDG methods are consistent in the sense that for all $(\boldsymbol{v}_h, q_h) \in \boldsymbol{\mathcal{V}}_h \times \mathcal{Q}_h$, the following identity holds

$$A_h((\boldsymbol{u} - \boldsymbol{u}_h, p - p_h), (\boldsymbol{v}_h, q_h)) = 0,$$

with $(u, p) \in \mathbf{H}^{1+r}(\Omega) \times \mathbf{H}^r(\Omega)$ and r > 0 and hence, the following Céa estimate for the eigenfunctions holds

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$$\|(\boldsymbol{u}-\boldsymbol{u}_h,p-p_h)\|_{\boldsymbol{\mathcal{V}}(h)\times\mathcal{Q}_h} \leq C\left(1+\frac{C_*}{\beta}\right) \inf_{(\boldsymbol{v}_h,q_h)\in\boldsymbol{\mathcal{V}}_h\times\mathcal{Q}_h} \|(\boldsymbol{u},p)-(\boldsymbol{v}_h,q_h)\|_{\boldsymbol{\mathcal{V}}(h)\times\mathcal{Q}_h},$$

where C_* and β are the continuity constant of $a_h(\cdot,\cdot)$ and the inf-sup constant of $b_h(\cdot,\cdot)$, respectively. Now, applying any suitable interpolant for \boldsymbol{u} on $\boldsymbol{\mathcal{V}}_h$ and the L² orthogonal projection operator, together with the fact that $\boldsymbol{u} \in \boldsymbol{\mathcal{E}}(\boldsymbol{\mathcal{V}}) \subset \mathbf{H}^{1+r}(\Omega)$ with r > 0, we have

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$$\|(\boldsymbol{u} - \boldsymbol{u}_h, p - p_h)\|_{\boldsymbol{\mathcal{V}}(h) \times \mathcal{Q}_h} \le Ch^{\min\{r,k\}} (\|\boldsymbol{u}\|_{1+r} + \|p\|_{r,\Omega}),$$

where C is a constant depending on the physical constants and the corresponding eigenvalue.

THEOREM 4.8. There exists a strictly positive constant h_0 such that, for $h < h_0$ 406 there holds

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1. If the symmetric IPDG method is considered ($\varepsilon = 1$), then there holds

$$|\lambda - \lambda_h| \le Ch^{2\min\{r,k\}},$$

2. If any of the non-symmetric IPDG methods are considered ($\varepsilon \in \{-1,0\}$), then there holds

$$|\lambda - \lambda_h| \le Ch^{\min\{r,k\}},$$

- where r > 0 and C is a constant depending on the physical constants and the corresponding eigenvalue given in (2.4).
- *Proof.* We begin by noticing that (4.5) is an immediate consequence of Lemma 4.6. The estimate (4.4) follows from the well known algebraic identity

416 (4.6)
$$A_h((\boldsymbol{u}-\boldsymbol{u}_h,p-p_h),(\boldsymbol{u}-\boldsymbol{u}_h,p-p_h)) - \lambda(\boldsymbol{u}-\boldsymbol{u}_h,\boldsymbol{u}-\boldsymbol{u}_h)_{0,\Omega} = (\lambda_h-\lambda)(\boldsymbol{u}_h,\boldsymbol{u}_h)_{0,\Omega}.$$

It is straightforward to prove that there exists a positive constant \widetilde{C} such that $(u_h, u_h)_{0,\Omega} > \widetilde{C} > 0$. On the other hand, applying modulus on (4.6) we obtain

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$$\widetilde{C}|\lambda_{h} - \lambda| \leq |A_{h}((\boldsymbol{u} - \boldsymbol{u}_{h}, p - p_{h}), (\boldsymbol{u} - \boldsymbol{u}_{h}, p - p_{h}))| + \lambda|(\boldsymbol{u} - \boldsymbol{u}_{h}, \boldsymbol{u} - \boldsymbol{u}_{h})_{0,\Omega}|$$

420 $= |a_{h}(\boldsymbol{u} - \boldsymbol{u}_{h}, \boldsymbol{u} - \boldsymbol{u}_{h}) + 2b_{h}(\boldsymbol{u} - \boldsymbol{u}_{h}, p - p_{h})| + |\lambda||(\boldsymbol{u} - \boldsymbol{u}_{h}, \boldsymbol{u} - \boldsymbol{u}_{h})_{0,\Omega}|$

421 $\leq |a_{h}(\boldsymbol{u} - \boldsymbol{u}_{h}, \boldsymbol{u} - \boldsymbol{u}_{h})| + 2|b_{h}(\boldsymbol{u} - \boldsymbol{u}_{h}, p - p_{h})| + |\lambda||(\boldsymbol{u} - \boldsymbol{u}_{h}, \boldsymbol{u} - \boldsymbol{u}_{h})_{0,\Omega}|$

422 $\leq C^{*}\|\boldsymbol{u} - \boldsymbol{u}_{h}\|_{\boldsymbol{V}(h)}^{2} + 2\|\boldsymbol{u} - \boldsymbol{u}_{h}\|_{\boldsymbol{V}(h)}\|p - p_{h}\|_{0,\Omega} + C\|\boldsymbol{u} - \boldsymbol{u}_{h}\|_{0,\Omega}^{2},$

423 $\leq \max\{C^{*}, C, 1\}(\|\boldsymbol{u} - \boldsymbol{u}_{h}\|_{\boldsymbol{V}(h)}^{2} + \|p - p_{h}\|_{0,\Omega}^{2}),$

- where the constant $C^* > 0$ is the one involved in (3.4). Finally, the proof follows from Remark 4.7.
 - 5. A posteriori error analysis. The aim of this section is to introduce a suitable fully computable residual-based error in the sense that it depends only on quantities available from the DG solution. Then, we will show its equivalence with the error. The analysis is focused only on eigenvalues with simple multiplicity.
- For $T \in \mathcal{T}_h$, we introduce the local indicator η_T as follows

431
$$\boldsymbol{\eta}_{T}^{2} := h_{T}^{2} \|\lambda_{h} \boldsymbol{u}_{h} + \nu \Delta \boldsymbol{u}_{h} - \mathbb{K}^{-1} \boldsymbol{u}_{h} - \nabla p_{h} \|_{0,T}^{2} + \|\operatorname{div} \boldsymbol{u}_{h}\|_{0,T}^{2}$$

$$+ \frac{h_{F}}{2} \sum_{F \in \mathcal{F}_{h}^{0}} \| [\![\nu \nabla \boldsymbol{u}_{h} - p_{h} \mathbb{I}]\!] \boldsymbol{n} \|_{0,F}^{2} + \frac{h_{F}}{2} \sum_{F \in \mathcal{F}_{h}^{2}} \| (\nu \nabla \boldsymbol{u}_{h} - p_{h} \mathbb{I}) \boldsymbol{n} \|_{0,F}^{2}$$

$$+ \frac{h_{F}^{-1}}{2} \sum_{F \in \mathcal{F}_{h}^{0}} \|\nu [\![\boldsymbol{u}_{h}]\!] \|_{0,F}^{2} + \frac{h_{F}^{-1}}{2} \sum_{F \in \mathcal{F}_{h}^{1}} \|\nu \boldsymbol{u}_{h} \otimes \boldsymbol{n} \|_{0,F}^{2}.$$

434 We introduce the global a posteriori error estimator

$$\boldsymbol{\eta} = \left(\sum_{T \in \mathcal{T}_h} \boldsymbol{\eta}_T^2\right)^{1/2}$$

In what follows, let $(\lambda, (\boldsymbol{u}, p))$ be a solution to Problem 2.1. We assume, for simplicity, that λ is a simple eigenvalue. Let us consider that $\|\boldsymbol{u}\|_{0,\Omega} = 1$. Then, for each \mathcal{T}_h , there exists a solution $(\lambda_h, (\boldsymbol{u}_h, p_h))$ of problem (3.2) such that $\lambda_h \to \lambda$, $\|\boldsymbol{u}_h\|_{0,\Omega} = 1$ and $\|\boldsymbol{u} - \boldsymbol{u}_h\|_{\boldsymbol{\mathcal{V}}(h)} \to 0$ as $h \to 0$.

5.1. Reliability. Following the approach presented in [27], we decompose the space of discontinuous finite elements by defining $\mathcal{V}_h^c := \mathcal{V}_h \cap \mathbf{H}_{\Gamma_1}(\Omega)$. The orthogonal complement of \mathcal{V}_h^c in \mathcal{V}_h with respect to the norm $\|\cdot\|_{1,h}$ is denoted by \mathcal{V}_h^r , where the norm is defined as:

$$\|\boldsymbol{v}_h\|_{1,h} := \|\nabla_h \boldsymbol{v}_h\|_{0,\Omega}^2 + \|h_{\mathcal{F}}^{-1/2}[\boldsymbol{v}_h]\|_{0,\mathcal{F}_h}^2.$$

Then, we have the decomposition $\mathcal{V}_h = \mathcal{V}_h^c \oplus \mathcal{V}_h^r$, allowing us to uniquely decompose the DG velocity approximation into $\mathbf{v}_h = \mathbf{v}_h^c + \mathbf{v}_h^r$, where $\mathbf{v}_h^c \in \mathcal{V}_h^c$ and $\mathbf{v}_h^r \in \mathcal{V}_h^r$. The following auxiliary result is necessary to prove that the presented estimator is reliable and has to do with the Scott-Zhang quasi-interpolator operator (see [29]).

LEMMA 5.1. Let $\mathcal{I}_h: \mathbf{H}^1(\Omega) \to \mathcal{V}_h^c$ be the Scott-Zhang quasi-interpolator operator. Then, there exists a constant $C_{SZ} > 0$ independent of h such that

$$451 \qquad \sum_{T \in \mathcal{T}_h} \left(h_T^{-2} \| \boldsymbol{v} - \mathcal{I}_h \boldsymbol{v} \|_{0,T}^2 + \| \nabla (\boldsymbol{v} - \mathcal{I}_h \boldsymbol{v}) \|_{0,T}^2 + h_T^{-1} \| \boldsymbol{v} - \mathcal{I}_h \boldsymbol{v} \|_{0,\partial T} \right) \le C_{SZ} \| \nabla \boldsymbol{v} \|_{0,\Omega}^2.$$

For what follows, it is necessary to obtain an upper bound for $||v_h^r||_{\mathcal{V}(h)}$. This is achieved using the Poincaré inequality (see [12, Corollary 5.4]), the estimate presented in [27, Proposition 4.1], and the definition of the local estimator η_T which yield to

455 (5.1)
$$\|\boldsymbol{v}_h^r\|_{\boldsymbol{\mathcal{V}}(h)} \le C_p \nu^{-1} \left(\sum_{T \in \mathcal{T}_h} \boldsymbol{\eta}_T^2 \right)^{1/2},$$

456 where $C_p > 0$ is the Poincaré constant.

457

The following result constitutes the main result on the efficiency of our estimator.

THEOREM 5.2. Let $(\lambda, (\boldsymbol{u}, p)) \in \mathbb{R} \times \mathbf{H}_{\Gamma_1}(\Omega) \times L^2(\Omega)$ be a solution of Problem 459 2.1 and $(\lambda_h, (\boldsymbol{u}_h, p_h)) \in \mathbb{C} \times \boldsymbol{\mathcal{V}}_h \times \mathcal{Q}_h$ its mixed DG solution of problem (3.2) with $\|\boldsymbol{u}_h\|_{0,\Omega} = 1$. Then, there exists a constant C > 0 independent of h such that

461
$$\|\|(\boldsymbol{u}-\boldsymbol{u}_h,p-p_h)\|\|_h \le C\left(\boldsymbol{\eta}+|\lambda|\|\boldsymbol{u}-\boldsymbol{u}_h\|_{0,\Omega}+|\lambda-\lambda_h|\right),$$

462 where $\|(\boldsymbol{v},q)\|_h^2 = \|\boldsymbol{v}\|_{\boldsymbol{\mathcal{V}}(h)}^2 + \|q\|_{0,\Omega}$.

463 Proof. Let $(\lambda, (\boldsymbol{u}, p)) \in \mathbb{R} \times \mathbf{H}_{\Gamma_1}(\Omega) \times L^2(\Omega)$ be a solution of Problem 2.1 and 464 $(\lambda_h, (\boldsymbol{u}_h, p_h)) \in \mathbb{C} \times \boldsymbol{\mathcal{V}}_h \times \mathcal{Q}_h$ solution of problem (3.2) with $\|\boldsymbol{u}_h\|_{0,\Omega} = 1$, we will 465 decompose \boldsymbol{u}_h as $\boldsymbol{u}_h = \boldsymbol{u}_h^c + \boldsymbol{u}_h^r$. Thus using triangular inequality and (5.1), we have 466 that

467 (5.2)
$$\|(\boldsymbol{u} - \boldsymbol{u}_h, p - p_h)\|_h = \|(\boldsymbol{u} - \boldsymbol{u}_h^c, p - p_h)\|_h + \|\boldsymbol{u}_h^r\|_{\boldsymbol{\mathcal{V}}(h)}$$

$$\leq \| (\boldsymbol{u} - \boldsymbol{u}_h^c, p - p_h) \|_h + C_p \nu^{-1} \left(\sum_{T \in \mathcal{T}_h} \boldsymbol{\eta}_T^2 \right)^{1/2}.$$

The next step is to bound the first term of the right hand side of the previous inequality, To this end, we first note that since $\mathbf{u} - \mathbf{u}_h^c \in \mathbf{H}_{\Gamma_1}(\Omega)$ and $p - p_h \in L^2(\Omega)$, it follows that $\|(\mathbf{u} - \mathbf{u}_h^c, p - p_h)\|_h = \|(\mathbf{u} - \mathbf{u}_h^c, p - p_h)\|$. Therefore, we can invoke Lemma 2.6 which gives us a function $(\mathbf{v}, q) \in \mathbf{H}_{\Gamma_1}(\Omega) \times L^2(\Omega)$ such that $\|(\mathbf{v}, q)\|_h \leq C_1 \|(\mathbf{u} - \mathbf{u}_h^c, p - p_h)\|_h$, where $C_1 > 0$ is the constant involved in Lemma 2.6. Then, we have

475 (5.3)
$$C_2^{-1} \| (\boldsymbol{u} - \boldsymbol{u}_h^c, p - p_h) \|_h^2 \le A((\boldsymbol{u} - \boldsymbol{u}_h^c, p - p_h), (\boldsymbol{v}, q))$$

476 $= A((\boldsymbol{u}, p), (\boldsymbol{v}, q)) - A((\boldsymbol{u}_h^c, p_h)(\boldsymbol{v}, q))$
477 $= \lambda(\boldsymbol{u}, \boldsymbol{v})_{0,\Omega} - \lambda_h(\boldsymbol{u}_h, \boldsymbol{v})_{0,\Omega} + \lambda_h(\boldsymbol{u}_h, \boldsymbol{v})_{0,\Omega} - A((\boldsymbol{u}_h, p_h), (\boldsymbol{v}, q)) + A((\boldsymbol{u}_h^r, p_h), (\boldsymbol{v}, q)),$

we note that if we define $\boldsymbol{v}_h^c := \mathcal{I}_h \boldsymbol{v} \in \boldsymbol{\mathcal{V}}_h^c$ as the Scott-Zhang quasi-interpolation of \boldsymbol{v} , and testing problem (3.2) with $(\boldsymbol{v}_h^c, 0)$, we obtain that

$$A_h((\boldsymbol{u}_h, p_h), (\boldsymbol{v}_h^c, 0)) = \lambda_h(\boldsymbol{u}_h, \boldsymbol{v}_h^c)_{0,\Omega}.$$

Therefore, adding and subtracting $\lambda_h(\boldsymbol{u}_h, \boldsymbol{v}_h^c)_{0,\Omega}$ in inequality (5.3) and using the above equality, we have that

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$$C_2^{-1} \| (\boldsymbol{u} - \boldsymbol{u}_h^c, p - p_h) \|_h^2 \le (\lambda \boldsymbol{u} - \lambda_h \boldsymbol{u}_h, \boldsymbol{v})_{0,\Omega} + \lambda_h (u_h, \boldsymbol{v} - \boldsymbol{v}_h^c)_{0,\Omega}$$

481 $+ A_h((\boldsymbol{u}_h, p_h), (\boldsymbol{v}_h^c, 0)) - A((\boldsymbol{u}_h, p_h), (\boldsymbol{v}, q)) + A((\boldsymbol{u}_h^r, p_h), (\boldsymbol{v}, q)).$

Now, using the fact that $\boldsymbol{v}_h^c \in \mathbf{H}_{\Gamma_1}(\Omega)$, applying the definitions of $A(\cdot, \cdot)$ and $A_h(\cdot, \cdot)$, and [27, Proposition 4.1], the previous inequality can be rewritten as follows

484 (5.4)
$$C_2^{-1} \| (\boldsymbol{u} - \boldsymbol{u}_h^c, p - p_h) \|_h^2 \le B_1 + B_2 + B_3 + B_4 + B_5,$$

485 where

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$$B_1 := (\lambda \boldsymbol{u} - \lambda_h \boldsymbol{u}_h, \boldsymbol{v})_{0,\Omega}, \quad B_2 := -\varepsilon \int_{\mathcal{F}_h^*} \{\nu \nabla_h \boldsymbol{v}_h\} : [\![\boldsymbol{u}_h]\!],$$

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$$B_3 := \int_{\Omega} \operatorname{div}_h \boldsymbol{u}_h q + \int_{\Omega} \nu \nabla_h \boldsymbol{u}_h^r : \nabla \boldsymbol{v} + \int_{\Omega} \mathbb{K}^{-1} \boldsymbol{u}_h^r \cdot \boldsymbol{v}, \quad B_4 := \lambda_h (\boldsymbol{u}_h, \boldsymbol{v} - \boldsymbol{v}_h^c)_{0,\Omega},$$

489 and

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$$B_5 = -\int_{\Omega} \mathbb{K}^{-1} \boldsymbol{u}_h \cdot (\boldsymbol{v} - \boldsymbol{v}_h^c) - \int_{\Omega} \nu \nabla_h \boldsymbol{u}_h : \nabla(\boldsymbol{v} - \boldsymbol{v}_h^c) + \int_{\Omega} \operatorname{div}_h (\boldsymbol{v} - \boldsymbol{v}_h^c) p_h + \int_{\Omega} \operatorname{div}_h \boldsymbol{u}_h q.$$

The task now is to estimate each of the terms on the right hand side of (5.4). For the term B_1 we apply a trace estimate in conjunction with a discrete inverse inequality to an edge or face $F \in \mathcal{F}_h^*$, where $F = T_1 \cap T_2$ if $F \in \mathcal{F}_h^0$ and $F = T_1 \cap \partial\Omega$ with $T_2 = \emptyset$ if $F \in \mathcal{F}_h^0$, we obtain

$$\|\nabla_h \boldsymbol{v}_h^c\|_{0,F} \le C h_F^{-1/2} \|\nabla_h \boldsymbol{v}_h^c\|_{0,T_1 \cup T_2}.$$

492 Thus, using the stability of the Scott-Zhang quasi-interpolator (cf. Lemma 5.1), we 493 obtain that:

494
$$B_{2} \leq C_{SZ}C_{\nu}C_{p}|\varepsilon| \left(\sum_{T \in \mathcal{T}_{h}} \|\nabla \boldsymbol{v}\|_{0,T}^{2}\right)^{1/2} \left(\sum_{T \in \mathcal{T}_{h}} \boldsymbol{\eta}_{T}^{2}\right)^{1/2}$$

$$\leq C_{SZ}C_{\nu}C_{p}|\varepsilon| \left(\sum_{T \in \mathcal{T}_{h}} \boldsymbol{\eta}_{T}^{2}\right)^{1/2} \|(\boldsymbol{u} - \boldsymbol{u}_{h}, p - p_{h})\|_{h}.$$

Let us focus on B_3 . Applying the Cauchy-Schwarz inequality and (5.1) we obtain

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$$B_{3} \leq \max\{\mathbb{K}^{*}, \nu, 1\} \|\boldsymbol{u}_{h}^{r}\|_{\boldsymbol{\mathcal{V}}(h)} \|\|(\boldsymbol{v}, q)\|\|$$

$$\leq C_{1} \max\{\mathbb{K}^{*}, \nu, 1\} \|\boldsymbol{u}_{h}^{r}\|_{\boldsymbol{\mathcal{V}}(h)} \|\|(\boldsymbol{u} - \boldsymbol{u}_{h}, p - p_{h})\|_{h}$$

$$\leq C_{1} \max\{\mathbb{K}^{*}, \nu, 1\} C_{p} \nu^{-1} \left(\sum_{T \in \mathcal{T}_{h}} \boldsymbol{\eta}_{T}^{2}\right)^{1/2} \|\|(\boldsymbol{u} - \boldsymbol{u}_{h}, p - p_{h})\|_{h}.$$

Finally, we proceed to bound the last two terms B_4 and B_5 . From integration by parts and the approximation properties of the Scott-Zhang interpolator we obtain the following result

503
$$B_{4} + B_{5}$$

$$= \sum_{T \in \mathcal{T}_{h}} \left(\int_{T} \left(\lambda_{h} \boldsymbol{u}_{h} - \mathbb{K}^{-1} \boldsymbol{u}_{h} \right) \cdot (\boldsymbol{v} - \boldsymbol{v}_{h}^{c}) \right) - \int_{T} \nu \nabla_{h} \boldsymbol{u}_{h} : \nabla(\boldsymbol{v} - \boldsymbol{v}_{h}^{c}) : (\boldsymbol{v} - \boldsymbol{v}_{h}^{c})$$

$$+ \int_{T} \operatorname{div}_{h} (\boldsymbol{v} - \boldsymbol{v}_{h}^{c}) p_{h} + \int_{T} \operatorname{div}_{h} \boldsymbol{u}_{h} q \right)$$

$$= \sum_{T \in \mathcal{T}_{h}} \left(\int_{T} \left[\lambda_{h} \boldsymbol{u}_{h} - \mathbb{K}^{-1} \boldsymbol{u}_{h} + \nu \Delta_{h} \boldsymbol{u}_{h} + \nabla_{h} p_{h} \cdot (\boldsymbol{v} - \boldsymbol{v}_{h}^{c}) \right] + \int_{T} \operatorname{div}_{h} \boldsymbol{u}_{h} q \right)$$

$$+ \sum_{T \in \mathcal{T}_{h}} \left(\int_{\partial T} (\nu \nabla_{h} \boldsymbol{u}_{h} - p_{h} \mathbb{I}) \boldsymbol{n} \cdot (\boldsymbol{v} - \boldsymbol{v}_{h}^{c}) \right) \leq \left(\sum_{T \in \mathcal{T}_{h}} \boldsymbol{\eta}_{T}^{2} \right)^{1/2} \left\| (\boldsymbol{u} - \boldsymbol{u}_{h}, p - p_{h}) \right\|_{h},$$

where for the last inequality, we have used that $\boldsymbol{v}_h^c = \mathcal{I}_h \boldsymbol{v}$ and the approximation properties of the Scott-Zhang quasi-interpolator (see Lemma 5.1).

Thus, replacing in (5.3), all the estimates obtained to bound the different B_i with i = 1, 2, 3, 4, 5 and then replacing this result in (5.2), the property is proved.

We observe that from the proof of Theorem 4.8 and the previous theorem, we obtain the following result

COROLLARY 5.3. There exists a constant C > 0 independent of h such that

$$|\lambda - \lambda_h| \le C (\boldsymbol{\eta} + |\lambda - \lambda_h| + ||\boldsymbol{u} - \boldsymbol{u}_h||_{0,\Omega})^2.$$

5.2. Efficiency. In order to perform the efficiency analysis, we adopt standard arguments widely recognized in the literature, which pertain to the behavior of bubble functions (see [24, 15, 31]). Given that these approaches have been thoroughly documented and validated in prior works, the detailed proof is omitted here, and only the main result is presented.

THEOREM 5.4. Let $(\lambda, (\boldsymbol{u}, p)) \in \mathbb{R} \times \mathbf{H}_{\Gamma_1}(\Omega) \times L^2(\Omega)$ be a solution of Problem 2.1 and $(\lambda_h, (\boldsymbol{u}_h, p_h)) \in \mathbb{C} \times \boldsymbol{\mathcal{V}}_h \times \mathcal{Q}_h$ its mixed DG solution of problem (3.2). Then, there exists a constant C > 0 independent of h such that

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$$\eta \leq C |||(\boldsymbol{u} - \boldsymbol{u}_h, p - p_h)|||_h + h.o.t.$$

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524 where
$$h.o.t := \left(\sum_{T \in \mathcal{T}_h} h_T^2 \left(|\lambda - \lambda_h|^2 + |\lambda|^2 \|\boldsymbol{u} - \boldsymbol{u}_h\|_{0,\Omega}^2 \right) \right)^{1/2}$$
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6. Numerical experiments. This section is dedicated to conducting various numerical experiments to assess the performance of the scheme across different geometries and physical configurations. The implementations are using the DOLFINx software [6, 30], where the SLEPc eigensolver [19] and the MUMPS linear solver are employed to solve the resulting generalized eigenvalue problem. Meshes are generated using GMSH [16] and the built-in generic meshes provided by DOLFINx. The convergence rates for each eigenvalue are determined using least-squares fitting and highly refined meshes.

In what follows, we denote the mesh resolution by N, which is connected to the mesh-size h through the relation $h \sim N^{-1}$. We also denote the number of degrees of freedom by dof. The relation between dof and the mesh size is given by $h \sim \text{dof}^{-1/d}$, with $d \in \{2,3\}$.

Let us define $err(\lambda_i)$ as the error on the *i*-th eigenvalue, with

$$\operatorname{err}(\lambda_i) := |\lambda_{h,i} - \lambda_i|,$$

where λ_i is the extrapolated value. Similarly, the effectivity indexes with respect to η and the eigenvalue $\lambda_{h,i}$ is defined by

$$extstyle{eff}(\lambda_i) := rac{ extstyle{err}(\lambda_i)}{oldsymbol{\eta}^2}.$$

In order to apply the adaptive finite element method, we shall generate a sequence of nested conforming triangulations using the loop

solve \rightarrow estimate \rightarrow mark \rightarrow refine,

540 based on [31]:

- 1. Set an initial mesh \mathcal{T}_h .
- 2. Solve (3.2) in the actual mesh to obtain $(\lambda_h, (\boldsymbol{u}_h, p_h))$.
- 3. Compute η_T for each $T \in \mathcal{T}_h$ using the eigenfunctions (\boldsymbol{u}_h, p_h) .
- 4. Use Dörfler [13] marking criterion to construct a subset $S_h \subset T_h$ such that we refine the elements T that satisfies

$$\sum_{T \in \mathcal{S}_h} \boldsymbol{\eta}_T^2 \ge \zeta \sum_{T \in \mathcal{T}_h} \boldsymbol{\eta}_T^2,$$

for some $\zeta \in (0,1)$.

5. Set \mathcal{T}_h as the actual mesh and go to step 2.

For 2D experiments, we choose v = 0.6, while v = 0.8 is chosen for 3D test.

It is worth noting that, while the permeability tensor is theoretically assumed to be positive definite, in the numerical experiments, it is set close to $\bf 0$. As a result, the eigenfunctions in these regions exhibit behavior consistent with the Stokes eigenvalue problem. For all experiments, we set $\nu=1$ and consider various choices for \mathbb{K} . The meshing of Ω into subdomains is such that there is conformity between the regions, i.e., the subregions are delimited exactly by the facets of the domain.

The choice of the stabilization parameter is an important aspect in the correct prediction of the eigenvalues. Different studies [9, 21, 22, 20] have shown that taking a sufficiently large $a_S := ak^2$ guarantees an accurate computation of the spectrum.

6.1. Stability analysis on a square domain with a porous subdomain. Let us consider the domain $\Omega := (0,1)^2$, $\Omega_D := (3/8,5/8)^2$ and $\Omega_S := \Omega \setminus \Omega_D$, which consists of the unit square domain with an internal, possibly porous subdomain Ω_D .

For each region, we define the following permeability parameter

$$\mathbb{K}^{-1} = \begin{cases} \kappa \mathbb{I} & \text{if } (x, y) \in \Omega_D, \\ \mathbf{0}, & \text{if } (x, y) \in \Omega_S. \end{cases}$$

This choice determines a region of full permeability on Ω_S , while a variable porosity is considered in Ω_D . The idea of the experiment is to study the convergence of the DG scheme when κ is changed. For the tests in this section, we will consider reference values computed using the method proposed in [25].

6.1.1. Dependence on the stabilization parameter. We start by analyzing what happens when we start moving the alpha stabilization parameter. According to Lemma 3.1, we note that a_S must be large enough to guarantee the stability of the method. A mesh resolution N = 16, corresponding to dof = 4424 for k = 1 is selected.

First, we show the results for the first 40 eigenvalues computed with the three variants of the methods in Figure 1. Here, it is noticeable that the symmetric method presents instability for values of $a \leq 2.5$, while the incomplete and non-symmetric methods can tolerate values closer to zero. The oscillations observed for small values of a, including veering and crossing between eigenvalues, are due to the eigensolver detecting spurious eigenvalues, which can be positive or negative, real or imaginary. Also, there is little to no difference in the stabilization of the schemes when choosing different permeability parameters.

To further study stabilization, given a>0, we extract the eigenvalues computed by the solver and compare them with existing methods. In particular, we consider the numerical method given [25] using Taylor-Hood elements. The results for the values of $a\in\{0.5,3,10\}$ are shown in Figure 2. As expected, for a=0.5 the symmetric method shows spurious eigenvalues, while the other methods show an underestimation in the prediction for this stabilization value. On the other hand, for larger values of alpha, the tendency is always towards overestimation, which can be observed in all permeability cases, although for a=3 the prediction is quite accurate. This selection, although it gives a small error with respect to the rest, does not guarantee that the convergence is optimal. In conclusion, a safe parameter for all the cases is a>3. Big values of α will produce overprediction of eigenvalues, but it may help with convergence. In particular, considering [20] as a reference, we note that a safe parameter for the method is $a\ge 10$. We select this parameter for all experiments in the rest of the numerical section.

6.1.2. Convergence of the DG schemes. This section analyzes the computational convergence of the proposed DG schemes when a safe stabilization parameter is given. All the cases consider a=10. The reference values computed from [25] are shown in Table 1 for the different permeability cases under study.

The absolute error and convergence behavior for the different schemes and permeability parameters are presented in Figures 3–4. The error history in Figure 3, which corresponds to the case $\mathbb{K}^{-1}|_{\Omega_D}=10^{-8}\mathbb{I}$, shows optimal convergence for all values of k when the symmetric scheme is used. A small perturbation is observed for k=3. However, for the non-symmetric methods with k=2,3, a convergence rate of order $\mathcal{O}(h^{2(k-1)})$ is observed, which reflects the suboptimal behavior predicted in Theorem 4.8.

We also analyze convergence in the case of a semi-permeable zone by considering $\mathbb{K}^{-1}|_{\Omega_D}=10^3\mathbb{I}$ to study the maximum achievable convergence rate. From the results

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Table 1

Example 6.1. Lowest four reference eigenvalues for different permeability parameters, computed using the method from [25].

	$\mathbb{K}^{-1} _{\Omega_D} = 10^{-8}$	$\mathbb{K}^{-1} _{\Omega_D} = 10^3$	$\mathbb{K}^{-1} _{\Omega_D} = 10^5$
λ_1	52.3447	65.3658	74.4455
λ_2	92.1244	167.7481	214.1789
λ_3	92.1244	182.6605	222.0403
λ_4	128.2096	182.6605	222.0352

in Figure 4, we observe that for k=1,2, the methods behave similarly to the previous case. For k=3, however, the symmetric method yields only $\mathcal{O}(\mathsf{dof}^{-2}) \approx \mathcal{O}(h^4)$, suggesting that the geometric regularity induces $\min\{r,k\} = r = 2$. The non-symmetric methods exhibit behavior consistent with theoretical expectations.

Finally, although not shown here, we also studied the case $\mathbb{K}^{-1}|_{\Omega_D} = 10^5 \mathbb{I}$. In that case, convergence of order $\mathcal{O}(h^m)$ was observed, with 1.2 < m < 1.8 for all values of k, which aligns with the expected behavior due to the obstacle effect induced by the subdomain Ω_D and the presence of reentrant corners within the domain Ω .

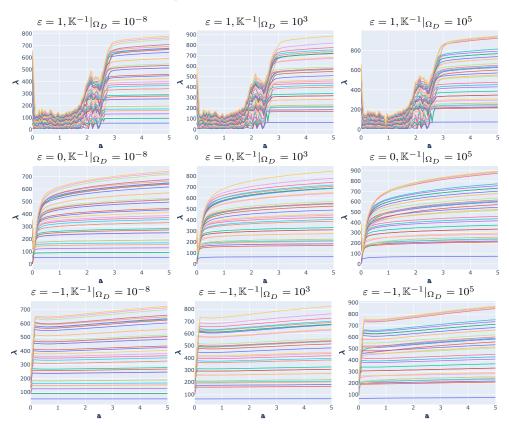


Fig. 1. Test 6.1.1. Dependence of the spectrum on the stabilization parameter a>0 in the three IPDG variants $(\varepsilon \in \{1,0,-1\})$, showing the first 40 lowest computed eigenvalues for different values of \mathbb{K} .

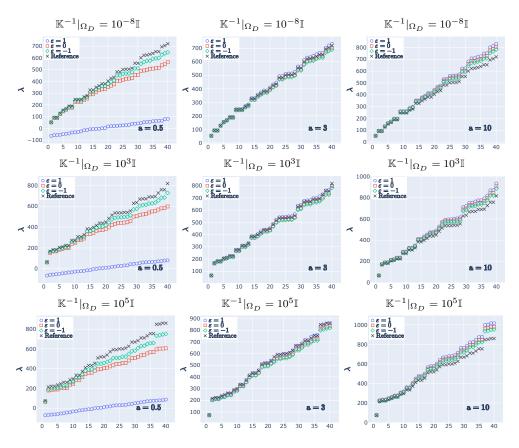


Fig. 2. Test 6.1.1. Comparison of the first 40 lowest eigenvalues calculated with the three DG variants against the reference values from [25]

6.2. Convergence on a 2D Lshaped porous domain with mixed boundary conditions. In this experient we put to the test the proposed scheme in the three variants of the method for a domain there there are singularities and mixed boundary conditions. The domain is the two-dimensional Lshape, defined as $\Omega := (0,1)^2 \setminus ((0.5,0) \times (1,0.5))$. We split the interior of Ω in such a way that there is an arrangement of different zones with given permeability parameters. A sample of the meshed geometry is depicted in Figure 5. Non-slip and do-nothing boundary conditions are assumed on Γ_1 and Γ_2 , respectively. We take \mathbb{K} such that $\mathbb{K}^{-1} = \mathbf{0}$ on Ω_S , while $\mathbb{K}^{-1} = 10^3 \mathbb{I}$ on Ω_D . On this test, the stabilization parameter is set to be $\mathbf{a} = 10$.

Taking as reference the suboptimal behavior observed in [25], we test the a posteriori estimator for k=1 in the three variants of the proposed DG scheme, while, due to the suboptimality predicted in Theorem 4.8 and therefore the lost efficiency of the estimator, we only consider the symmetric case for the higher order k=2.

In Figure 6 we present the adaptive meshes obtained by the DG variants for k = 1. It is evident that our adaptive algorithm concentrates most of the refinements around the reentrant corner, as well as in regions with high pressure gradients. It is also worth noting that the number of elements marked inside the domain by the

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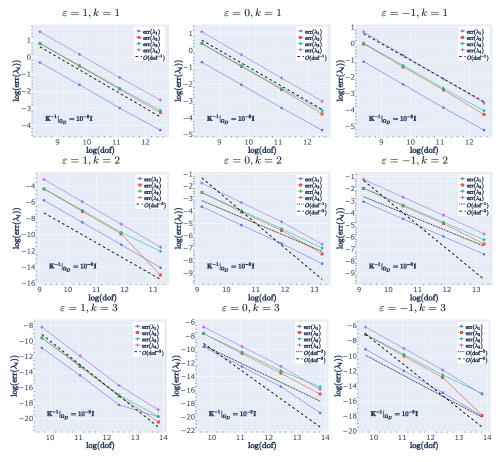


Fig. 3. Test 6.1.2. Convergence history for the first four eigenvalues on each IPDG scheme for a selected stability parameter $\mathbf{a}=10k^2$ and $\mathbb{K}^{-1}|_{\Omega_D}=10^{-8}$.

skew-symmetric method is higher than in the other schemes.

We conclude this test by presenting the error history and estimator efficiency for all IPDG schemes in Figures 8. In all cases, convergence rates of double order are observed, and the estimator remains both reliable and efficient, remaining bounded away from zero. For the symmetric case, a convergence rate of $\mathcal{O}(h^{2k})$ is clearly achieved. For the non-symmetric methods, the error curves and estimator efficiency exhibit very similar behavior and are optimal for k = 1.

6.3. 3D channel with a porous obstacle. We end the numerical section by presenting some results of the DG method on three-dimensional domains. For simplicity, we only consider the symmetric case $\varepsilon = 1$. The domain under study is a box defined by $\Omega := (0,1) \times (0,1) \times (0,3)$. Within this domain, we define the permeability parameter \mathbb{K} as

$$\mathbb{K}^{-1} = \begin{cases} \kappa \mathbb{I} & \text{if } (x, y) \in \Omega_D, \\ \mathbf{0}, & \text{if } (x, y) \in \Omega_S, \end{cases}$$

where $\Omega_D := (0,1) \times (1/3,2/3) \times (4/3,5/3)$ and $\Omega_S = \Omega \setminus \Omega_D$. We choose $\mathbb{K}^{-1} = 10^3 \mathbb{I}$. This choice allows to have a membrane-like behavior with partial permeability across Ω_D . A graphical description of the domain is portrayed in Figure 9.

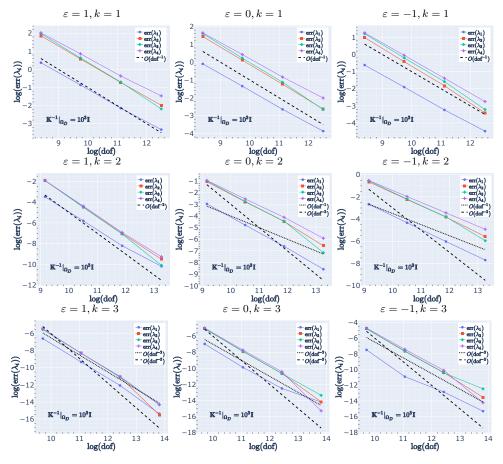


Fig. 4. Test 6.1.2. Convergence history for the first four eigenvalues on each IPDG scheme for a selected stability parameter $\mathbf{a}=10k^2$ and $\mathbb{K}^{-1}|_{\Omega_D}=10^3$.

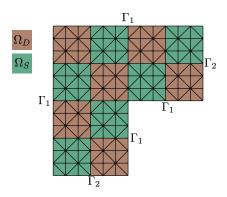


Fig. 5. Test 6.2. Sample geometry of an Lshaped domain with a chessboard-like distribution of permeability regions and N=10.

We solve the eigenvalue problem with k=1,2 and obtain the extrapolated discrete eigenvalue $\lambda_1=33.70064$, which is considered as the exact solution. Then, we perform 10 adaptive iterations for k=1 and 9 iterations for k=2 in order to observe

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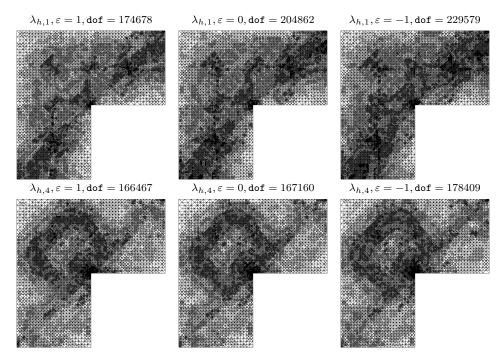


Fig. 6. Test 6.2. Last adaptive meshes for the first and fourth computed eigenvalue with for all the variants of the IPDG method in the Lshaped geometry with mixed boundary conditions and $\mathbb{K}^{-1} := 10^3 \mathbb{I}$ on Ω_D .

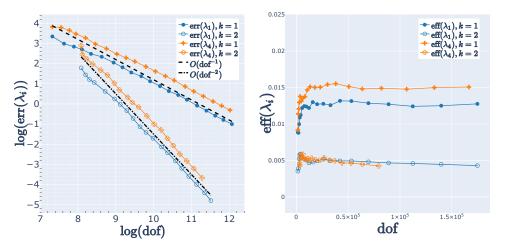


Fig. 7. Test 6.2. Error history for the adaptive refinements when computing the first and fourth eigenvalue (left) together with their corresponding effectivity indexes (right) in the symmetric case ($\varepsilon = 1$).

the convergence rates and the reliability/efficiency of the estimator. The stabilization parameter is set to be $\mathtt{a}=10$.

We present the corresponding lowest eigenmodes in Figure 10. Here, we observe the velocity field across the domain, entering and exiting through Γ_2 , and we also note that some of the fluid, although with low magnitude, passes through the por-

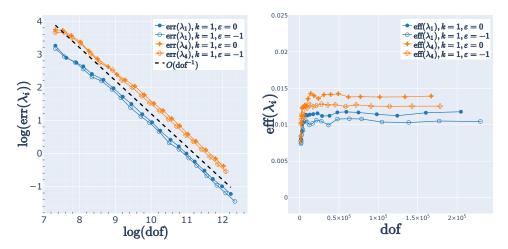


Fig. 8. Test 6.2. Error history for the adaptive refinements when computing the first and fourth eigenvalue (left) together with their corresponding effectivity indexes (right) in the incomplete and skew-symmetric cases.

ous subdomain. This mild porosity causes high pressure gradients, represented by a concentrated cloud of points around Ω_D . The eigenmode behavior is detected by the estimator and the adaptive algorithm, which marks the elements near the boundary of Ω_D for refinement. Some samples of the adaptive meshes for k=1,2 are presented in Figure 11, where critical singular zones are refined as expected. Similar to the 2D case, fewer elements are marked to achieve optimal rates for k=2.

The error and effectivity indices for the symmetric DG scheme are depicted in Figure 12. A rate $\mathcal{O}(\mathsf{dof}^{-2k/d})$ is observed for $k \geq 1$ and d = 3, implying an h-convergence rate of $\mathcal{O}(h^{2k})$. Moreover, the estimator effectivity remains properly bounded, demonstrating the reliability and efficiency of the estimator in the three-dimensional case with mixed boundary conditions.

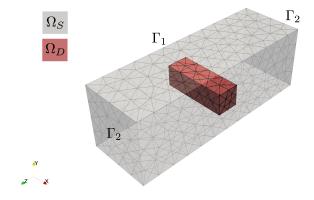


Fig. 9. Test 6.3. The channel domain with the initial mesh configuration consisting of 2616 elements.

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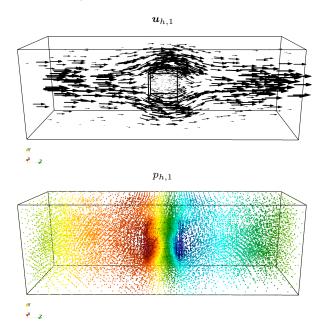


Fig. 10. Test 6.3. First lowest computed eigenmodes represented as the velocity field (top) and pressure dots cloud (bottom) in the last adaptive iteration.

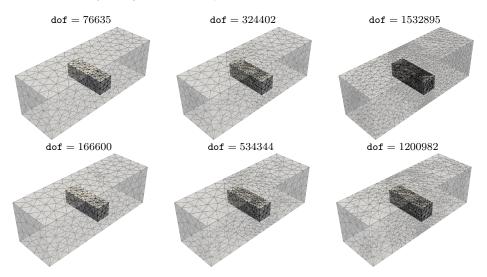


Fig. 11. Test 6.3. Intermediate adaptive meshes for k=1,2, in the symmetric IPDG scheme $(\varepsilon=1.)$

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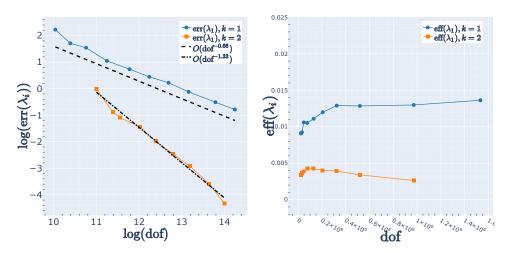


FIG. 12. Test 6.3. Error history for the adaptive refinements when computing the first eigenvalue (left) together with their corresponding effectivity indexes (right) in the symmetric case $(\varepsilon = 1)$.

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